

# DUE DILIGENCE & INVESTIGATIONS



## Overview

Our multidisciplinary investigative teams gather critical intelligence for prospective investors and asset owners. Skilled at providing corporate compliance, fraud, and third-party diligence services, our experts investigate unusual activities.

Experienced in the courtroom and the server room, domestically and around the world, BRG forensics experts have been engaged in hundreds of investigations and due diligence reviews. Our team incorporates a multidisciplinary approach in the prevention and detection of fraud and misconduct.

Valuation Services

Fund Management &  
Fiduciary Services

Strategic &  
Transaction Advisory

Due Diligence &  
Investigations

Compliance & Risk  
Management

Fund Cyber Security

Fund Transparency  
Advisory Restructuring  
& Corporate Recovery

Forensic Accounting &  
Dispute Resolution

Fund Business Services

### DUE DILIGENCE SERVICES

- Investigative due diligence and asset searches
- Third-party inspections and dispute due diligence
- Corporate portfolio investigative company services
- Assessment of investment strategies, establishing monitoring controls over risk exposure

### FRAUD DETECTION AND PREVENTION SERVICES

- Developing anti-fraud controls and integrity programs
- FATCA and global anti-bribery and anti-corruption management
- Fraud risk assessments

### e-DISCOVERY SERVICES

- Forensic collection and analysis of electronically stored information
- Corporate networks, databases, computers, phones, external storage devices, social media, archives, cloud providers, and other digital storage media

### INTERNAL INVESTIGATIONS

- SEC compliance, enforcement actions and Wells Notice defence
- Trade secret theft
- Fraud investigations

### REGULATORY INVESTIGATIONS

- FCPA, UKBA, and anti-bribery and corruption investigations
- Trade sanctions, anti-money laundering, and whistleblower





### **CASE 1 - GLOBAL ENTERTAINMENT CLIENT**

BRG professionals were retained by a global entertainment client with significant operations in Brazil, Venezuela, and Mexico to conduct country audits and due diligence of the client's distributors, third-party agents, and sales force.

### **CASE 2 - FOR-PROFIT EDUCATIONAL INSTITUTION**

BRG Professionals were retained by outside counsel to conduct an extensive due diligence of the owners and shareholders of a Colombian for-profit educational institution based in Bogotá, to assess the level of risk associated with interaction with third parties and employment of former government employees. Work was conducted in Bogotá, Medellín, and Cali, Colombia.

### **CASE 3 - HEALTHCARE COMPANY**

BRG professionals were hired by outside counsel of a major medical devices and healthcare company to conduct a comprehensive risk-based due diligence and assessment of all of its third-party providers and medical professionals in Latin America, Asia, and Africa over a year-long period pursuant to a DPA with the US Department of Justice.

### **CASE 4 - TECH-SECTOR PRIVATE EQUITY CLIENT**

BRG was retained by a private equity company to undertake investigative due diligence investigations of a management team in the tech sector. The review disclosed a number of misstatements in the executives' CVs and ultimately led to the private equity company retaining only half of the management team and re-pricing the transaction.

### **CASE 5 - PRIVATE EQUITY CLIENT**

BRG was retained by a major private equity fund looking to acquire the operations of an agricultural concern in Costa Rica, to review the financial records and conduct interviews of senior management and ownership, as well as conduct a thorough due diligence of the company's reputation, sources of business, and connections to government officials and entities.

### **CASE 6 - PRIVATE EQUITY TEAM LEADER**

A BRG professional served as the team leader for a private equity firm that was performing due diligence on two oil and gas companies, performing an FCPA assessment on the international locations of the targets in the United Kingdom. Key executives were interviewed, and the team performed sample testing related to cash disbursements, expense reimbursements, and performed a contract review. The investigation uncovered improper payments to foreign government officials.

#### **Contact Us**

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RESTRUCTURING & CORPORATE RECOVERY • FORENSIC ACCOUNTING & DISPUTE RESOLUTION • FUND BUSINESS SERVICES