

PROGRAM

Annual Seminar on Risk Management and Regulatory Examination/ Compliance Issues Affecting International Banks

October 17 - 18, 2012
CUNY Graduate Center
365 Fifth Avenue, NYC

**Annual Seminar on
Risk Management and
Regulatory Examination/
Compliance Issues
Affecting International Banks**



Wednesday October 17

8:30am - 8:55am

Continental Breakfast
Sponsored by

Deloitte.

8:55am - 9:00am

Welcome and Introductory Remarks

Sally Miller, CEO
Institute of International Bankers

9:00am - 9:30am

Opening Address

Sarah J. Dahlgren, Executive Vice President
Federal Reserve Bank of New York

9:30am - 11:00am

Regulatory/Supervisory Policy Initiatives

Jack Jennings *(invited)*
Senior Associate Director, Division of Banking
Supervision and Regulation
Federal Reserve Board

James R. Burns
Deputy Director, Division of Trading and Markets,
Securities and Exchange Commission

Dan Berkovitz, General Counsel
Commodity Futures Trading Commission

Richard Neiman *(and moderator)*, Vice Chairman,
Global Financial Services Regulatory Practice
PwC

11:00am - 11:15am

Coffee Break
Sponsored by

**C L I F F O R D
C H A N C E**

Register now at www.iib.org

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Wednesday October 17

11:15am - 12:30pm

**Practical Insights for International Banks in
Dealing with Key Examination and Compliance
Issues under the Dodd-Frank Act**

Stephen Strombelline (and moderator),
Managing Director, Head of Ethics and
Compliance, North America
BNP Paribas

Seth Bender, Vice President and Counsel
Commerzbank AG

Eric Kaplan, Deputy General Manager
Mizuho Corporate Bank, Ltd.

Christopher J. Spoth, Director
Deloitte & Touche LLP

12:30pm - 2:00pm

Luncheon sponsored by



Keynote speaker:

Mark W. Olson, Co-Chair,
Trelant Risk Advisors LLC
(and former Federal Reserve Board Governor)

2:00pm - 3:00pm

**Effectively Integrating Governance,
Risk Management and Compliance**

Richard Ferguson, Chief Risk Officer-Americas
Deutsche Bank AG

William S. Haraf, Managing Director
Promontory Financial Group LLC

Paul Lee (and moderator), Partner
Debevoise & Plimpton LLP

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Wednesday October 17

3:00pm - 3:30pm

Featured afternoon remarks

Speaker to be announced

3:30pm - 3:45pm

Coffee Break

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ALLEN & OVERY

3:45pm - 4:45pm

Managing Reputational Risk in an Internet World

Connie M. Friesen (and moderator), Partner
Sidley Austin LLP

Douglas Landy, Partner
Allen & Overy LLP

James Wistman, Director
ICS Risk Advisors

Thursday October 18

8:30am - 8:55am

Continental Breakfast
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9:00am - 10:15am

**Trends and Key Developments in the Regulation of
International Banks**

Alan Avery, Partner
Latham & Watkins LLP

Robert E. Bostrom, Partner
SNR Denton US LLP

Derek Bush, Partner
Cleary Gottlieb Steen & Hamilton LLP

David Sahr, Partner
Mayer Brown LLP

Richard Coffman (moderator), General Counsel
Institute of International Bankers

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Thursday October 18

10:15am - 10:30am

Coffee Break
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10:30am - 12 noon

**The Perspectives of Prudential Supervisors and
Financial Institutions on Examination Issues**

Dana Green, Assistant Vice President,
Financial Institutions Supervisory Group
Federal Reserve Bank of New York

Regina Stone, Deputy Superintendent,
Foreign and Wholesale Banks
New York State Department of Financial Services

Carlos Hernandez, Director, International Banking
Supervision
Office of the Comptroller of the Currency

Paul Fagone (*invited*), Vice President,
Risk Program
Financial Industry Regulatory Authority

Donald T. Vangel (*and moderator*), Advisor,
Regulatory Affairs, Office of the Chairman
Ernst & Young LLP

12 noon - 1:30pm

Luncheon sponsored by



Keynote speaker:

Gaurav Vasisht, Executive Deputy
Superintendent, Banking Division
New York State Department of Financial Services

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Thursday October 18

1:30pm - 2:30pm

Handling a Regulatory Compliance Investigation

David DiBari (and moderator), Partner
Clifford Chance US LLP

William Sweet, Partner
Skadden, Arps, Slate, Meagher & Flom LLP

Walter J. Mix, Director
Berkeley Research Group

2:30pm - 2:45pm

Coffee Break
Sponsored by

MAYER • BROWN

2:45pm - 4:15pm

**Supervisory Developments Regarding BSA/AML
and OFAC Compliance**

Timothy P. Leary, Senior Special AML Examiner
Federal Reserve Board

James Porter, Deputy Assistant Director
Office of Compliance
Financial Crimes Enforcement Network

Corinne Goldstein, Partner
Covington & Burling LLP

Ellen Zimiles, Managing Director, Global Head of
Investigations and Compliance
Navigant Consulting Inc.

Michael Mancusi (and moderator), Partner
Kilpatrick Townsend & Stockton LLP

4:15pm

Adjournment

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