

## Curriculum Vitae

**William C. Marquardt**  
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### SUMMARY

William ("Bill") Marquardt is a director in BRG's Global Investigations + Strategic Intelligence practice and is based in Miami, Florida. He has significant experience in the areas of forensic accounting, compliance and risk management consulting, with a concentration on the US Foreign Corrupt Practices Act (FCPA) and corporate investigations. He has worked with entities and individuals in government investigations involving financial and accounting fraud, insider trading, wire fraud, and other Anti-Money Laundering (AML) issues. He is adept at analyzing and simplifying complex financial, accounting and compliance issues and effectively communicating core issues clearly and concisely.

Mr. Marquardt also provides clients pre- and post-acquisition due diligence activities, including post-acquisition integration assistance in the areas of finance and accounting. He also provides forensic accounting and investigative support for construction projects involving issues such as contractor fraud, contact evaluations, and construction cost reviews.

Mr. Marquardt has held senior-level positions in large multinational corporations in the United States and Latin America. He served as the CFO of a multinational consulting firm and held management and executive management positions within a multinational manufacturing company, including serving as president and CFO of two Andean-region captive finance companies headquartered in Lima, Peru. He also served on the board of directors of its Insurance Company.

Earlier in his career, Mr. Marquardt served as a director in the Northeast Risk Advisory Practice of a Big Four accounting firm, where he advised large publicly held companies with internal audit operations, Sarbanes-Oxley compliance and improvement efforts, financial re-statements, and SEC compliance projects.

Mr. Marquardt began his professional career with one of the largest public accounting firms in the world. He holds a BBA (cum laude) in accounting and real estate from the University of Cincinnati and an MBA with a concentration in international business from the University of Miami. He is a Certified Public Accountant (CPA) and holds several other professional certifications.

### EDUCATION

M.B.A. International Business, University of Miami

B.B.A. Accounting & Real Estate, University of Cincinnati

## PROFESSIONAL CERTIFICATIONS/QUALIFICATIONS

Certified Public Accountant (CPA), State of Connecticut  
Certified in Financial Forensics (CFF)  
Certified Internal Auditor (CIA)  
Certification in Risk Management Assurance (CRMA)  
Certified Fraud Examiner (CFE)  
Certified Anti-Money Laundering Specialist (CAMS)  
TRACE Anti-Bribery Specialist Accreditation (TASA)  
Certified Financial Crimes Specialist (CFCS)

## PRESENT EMPLOYMENT

Berkeley Research Group, LLC, October 2015

## PREVIOUS POSITIONS

- 2013 – 2015: **Managing Director**, FTI Consulting Inc. (Forensic Litigation and Consulting)  
*The Forensic and Litigation Consulting segment of FTI, a global business advisory company, is focused on providing law firms, corporations and government clients with expertise in corporate investigations, litigation and transactional intelligence, the Foreign Corrupt Practices Act (FCPA), and Fraud and Forensic Accounting Services.*
- 2011- 2013: **Chief Financial Officer**, The Highland Consulting Group  
*The Highland Group is a privately held international operational consulting firm specializing in collaborating with senior corporate management to implement strategic operational and financial initiatives.*
- 2007 - 2010: **Director**, FTI Consulting Inc. (Forensic Litigation and Consulting)
- 2005 - 2007: **Director**, KPMG LLP (Risk Advisory Services)
- 2004 - 2005: **Associate**, Resources Global Professionals
- 2003 - 2004: **Chief Financial Officer**, Dantzer Inc.  
*Dantzer Inc. is comprised of six privately held affiliated companies with annual revenues in excess of \$100 MUSD engaged in the acquisition and importation of building materials.*
- 1998 - 2003: Various Positions within AB Volvo
  - **President and Chief Financial Officer**, Volvo Finance Peru S.A. and Volvo Service Peru S.A.
    - Chairman of the Board – Volvo Finance & Volvo Service Peru S.A.
    - Board Member – Volvo Commercial Finance LLC, The Americas, Offshore Bermuda Insurance Company
  - **Dealer Funding Manager**, Volvo Commercial Finance LLC, The Americas
  - **Financial Controller**, Volvo Construction Equipment Korea, Ltd.
  - **Manager Financial Analysis – US Transportation**, Volvo Commercial Finance LLC, The Americas
- 1995 - 1997: **Senior Financial Analyst, Senior Internal Auditor**, General Signal Corporation
- 1994 - 1995: **Senior Internal Auditor**, Sequa Corporation
- 1989 - 1994: **Audit Senior**, Arthur Andersen LLP (Co-op Program)

## **PROFESSIONAL AFFILIATIONS**

American Institute of Certified Public Accountants (AICPA)  
The Institute of Internal Auditors (IIA)  
Association of Certified Fraud Examiners (ACFE)  
Association of Certified Anti-Money Laundering Specialists (ACAMS)  
Institute of Management Accountants (IMA)  
TRACE – Anti-Bribery Compliance Solutions (TASA)  
Association of Certified Financial Crimes Specialists (ACFCS)  
Association of Insolvency and Restructuring Advisors (AIRA)  
Professional Evaluation and Certification Board (PECB) – ISO 37001 Certified

## **PUBLICATIONS**

### **ARTICLES**

- (1) Mexico's New National Anti-Corruption System, Bloomberg Law – Securities Regulation & Law Report, February 6, 2017
- (2) *The Draft ISO 37001 Anti-Bribery Standard's Promise and Limitations*, The Global Anticorruption Blog, Law, Social Science, and Policy, August 25, 2016
- (3) *Extraterritorial Anti-bribery and Anti-corruption Compliance Requirements – A Third Party Perspective*, Pharmaceutical Compliance Monitor, January 2016
- (4) *CSR Programs and Charitable Donations: FCPA Compliance Challenges*, Corporate Compliance Insights, December 2015
- (5) *THE NEW COSO FRAMEWORK: Why Compliance is Good Business*, FTI Journal, September 2015
- (6) *Bitcoin and Other Virtual Currencies: Are They Here To Stay*, Bloomberg Law - Bloomberg Finance L.P., November 6, 2013
- (7) *The Evolving Role of the Internal Auditor -- Value Creation and Preservation from an Internal Audit Perspective*, KPMG Whitepaper - Document code: GSC047 - 2007