

## Modern Slavery Policy

Berkeley Research Group, LLC (BRG) is a global consulting firm that helps leading organizations advance in three key areas: disputes and investigations, corporate finance, and performance improvement and advisory.

Headquartered in California with offices around the world, we are an integrated group of experts, industry leaders, academics, data scientists, and professionals working across borders and disciplines. We harness our collective expertise to deliver the inspired insights and practical strategies our clients need to stay ahead of what's next.

BRG's commitment to corporate social responsibility means continuously looking for opportunities to make a positive contribution in our local and global communities. It also means ensuring that our policies and procedures for conducting business reflect our values as a high-integrity business organization.

To affirm this commitment, in 2017 BRG's Board of Managers endorsed the firm's decision to adopt the principles of the United Nations Global Compact (UNGC), a strategic policy initiative for organizations that are committed to aligning their operations and strategies to UN goals aimed at building a sustainable and inclusive global economy. We joined a significant number of leading international professional services firms that have adopted the UNGC as an affirmation of their commitment to corporate social responsibility. These concepts are enshrined not only in this policy but in BRG's Code of Conduct.

Since its inception, BRG has operated in a manner consistent with these goals. We strive to advance the UNGC's ten universally accepted principles covering human rights, the environment, and anti-corruption through our approach to business and our engagement with our stakeholders and communities.

To that end, BRG opposes the use of modern slavery in all forms, including child labor, human trafficking, and forced labor. The firm is committed to the prevention of all forms of modern slavery, meaning slavery, servitude, and forced or compulsory labor. This policy applies to BRG's own practices as well as its supply chain.

BRG provides fair wages and working conditions to its employees and contractors. BRG has zero tolerance for the use of child labor, forced labor, or labor resulting from human trafficking. Further, BRG will not knowingly do business with any entity, including but not limited to vendors, suppliers, subcontractors, joint-venture partners, or otherwise, that engage in such practices.

New BRG staff are provided training on the Code of Conduct as well as on BRG's whistleblowing policies, which encourage anyone at BRG, including contractors and vendors, to raise concerns about conduct that may violate BRG's Code of Conduct, internal policies, or applicable laws.